

30/579114

APR 2006 2006 22 MAY 2006
Attorney Docket No. 0553-0499

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Ryoji NOMURA et al)
Serial No.: Not Assigned) "Express Mail" Mailing Label No. EV 829838614
Filed: Herewith) Date of Deposit May 11, 2006
Int. Application No: PCT/JP2005/012436) I hereby certify that this correspondence is being
Intl. Filing Date: June 29, 2005) deposited with the United States Postal Service
Art Unit:) "Express Mail Post Office to Addressee" service
For: PHENANTHROLINE DERIVATIVE AND) under 37 CFR1.10 on the date indicated above
LIGHT EMITTING DEVICE USING THE SAME) and is addressed to: Commissioner for Patents,
) P.O. Box 1450, Alexandria, VA 22313-1450
) Name: ARMANDO CHAVEZ
) (typed or printed)
) Signature *Armando Chavez*

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97, Applicant hereby calls the Examiner's attention to documents listed on the attached form, which documents may be material to the examination of this application. Pursuant to 37 CFR §1.98(a)(2)(i) copies of cited US patent and US patent application publications are not submitted herewith. Copies of foreign patent documents and non-patent literature documents are enclosed herewith for the Examiner's consideration.

The family of JP 05-331459 cited herein includes:
EP Patent No. 0 564 224 A2
US Patent No. 5,393,614

The family of JP 2004-107263 cited herein includes:
WO Patent No. 2004/026870 A1

The family of JP 2001-131174 cited herein includes:
EP Patent No. 1 097 980 A2
US Patent Application Publication No. US 2004/0265626 A1

No inference should be drawn that the attached list sets forth a comprehensive investigation of the prior art, that any or all are pertinent to the invention, or that any apparatus disclosed is equivalent to the subject invention.

The citation of the above-discussed documents is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of any document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of those documents discussed herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

COOK, ALEX

McFARRON, MANZO & CUMMINGS LTD.

A first office action, notice of allowance or issue fee notification has not been received in this case, so Applicant does not believe that a fee is due. However, if any such fee is required, please charge our Deposit Account No. 50/1039.

Respectfully submitted,



Mark J. Murphy
Registration No: 34,225

COOK, ALEX, McFARRON, MANZO,
CUMMINGS & MEHLER, LTD.
200 West Adams Street
Suite 2850
Chicago, Illinois 60606
(312) 236-8500
Customer No: 000026568

JAPAN 2005 AUGUST 11, 2005

LIST OF PUBLICATIONS CITED BY APPLICANT		<u>Atty. Docket No.</u> 0553-0499		<u>Serial No.</u> 1579114 Not Assigned		
		<u>Applicant</u> Ryoji NOMURA et al				
		<u>Filing Date</u> Herewith		<u>Group</u>		
US PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	5,393,614 US 2004/ 0265626 A1	02/28/95 12/30/04	Nakada Shibamura et al	428 428	690 690	03/26/93 03/11/04
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	English Abstract	English Trans.	FILING DATE
	EP 0 564 224 JP 05-331459 EP 1 097 980 JP 2001- 131174 JP 2003- 017268 WO 04/026870 JP 2004- 107263 JP 2004- 175691 JP 2005- 108720	10/06/93 12/14/93 05/09/01 05/15/01 01/17/03 04/01/04 04/08/04 06/24/04 04/21/05	Pioneer Elect. Corp Pioneer Elect. Corp Sony Corp Sony Corp Sharp KK Cannon KK Cannon KK Chemiprokasei Kaisha Ltd TDK Corp	X X X X		03/30/93 11/24/92 10/30/00 11/02/99 06/29/01 09/09/03 09/19/02 11/25/02 09/30/03
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages)						
	1) International Search Report re application no. PCT/JP2005/012436, dated August 30, 2005. 2) Written Opinion re application no. PCT/JP2005/012436, dated August 30, 2005.					
EXAMINER:				DATE CONSIDERED:		
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.						